PTO/SB/05 (11-00)

Approved se through 10/31/2002. OMB 0651-0032

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

Attorne	y Docket No.	021503-00010	Dous			
First In	ventor		Lee, Wai-Hon			
Title	OPTICAL PICKUP DIFFRACTING ONE OF TWO LASER BEAMS TO A SINGLE DETECTOR					

(Only for new nonprovisional application	s under 37 C.F.R. § 1.53(b))	Express Mail Label No.	EL265818426US	<u>.                                    </u>				
APPLICATIO	N ELEMENTS	ADDRESS TO	Assistant C	Commissioner for Patents Application				
See MPEP chapter 600 concerning desig	n patent application contents.	ADDINESS		n, DC 20231				
1.  Fee Transmittal Form (e.g. (Submit an original and a dup) 2.  Applicant claims small end See 37 CFR 1.27. 3.  Specification (preferred arrangement set for Descriptive title of the Invent) - Cross References to Relate - Statement Regarding Fed sylvatement Program listing a computer program listing a Background of the Invention Brief Summary of the Invention Brief Description of the Draw Detailed Description Claim(s)	ity status.  [Total Pages 10 ]  Ith below)  ion If Applications  consored R & D  g, a table, or  ippendix  on	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b)Statement Power of (when there is an assignee)  Attorney						
i. DELETION OF INVE Signed statement attached named in the prior applicat 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See	[Total Pages 1 ] I or copy) ation (37 CFR 1.63 (d)) onal with Box 18 completed) ENTOR(S) deleting inventor(s) ion, see 37 CFR	11. ☐ English Translation Document (if applicable)  12. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations  13. ☐ Preliminary Amendment  14. ☑ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent  17. ☐ Other:						
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No: /  Prior application information: Examiner Group Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
•		NDENCE ADDRESS						
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Name	θ							
Address								
City	State		Zip Code					
Country	Telephone	,	Fax					
Name (Print/Type) Paul C.	Haughey	Registration No. (Attorney/Agent) 31,836						
Signature	Was & E	-Han V.	Date	February 7, 2002				

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PTO/SB/17 (10-01) use through 10/31/2002. OMB 0651-0032 ce: U.S. DEPARTMENT OF COMMERCE s it displays a valid OMB control number.

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

Complete if Known					
Application Number					
Filing Date	HEREWITH				
First Named Inventor	Lee, Wai-Hon	•			
Examiner Name					
Group Art Unit					
A	021503 000100118				

TOTAL AMOUNT OF PAYMENT (\$) 410			Attorn	Attorney Docket No. 02		02150	021503-000100US			
	METHO	OD OF PAYMENT					FEE C	ALCULATION (continued)		
1. 🛛		ssioner is hereby at es and credit any o	uthorized to charge ver payments to:	3. ADD	DITIONAL Entity	. FEES Small	Entity			
Deposit Account	20-1430			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number	20-1400	,		105	130	205	65	Surcharge - late filing fee or oath		
Deposit				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account	Townsend a	and Townsend and	Crew LLP	139	130	139	130	Non-English specification		
Name			147	2,520	147	2,520	For filing a request for reexamination			
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status. See 37 CFR 1.27				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. Payment Enclosed:			115	110	215	55	Extension for reply within first month			
☐ Check	☐ Credit car		Other	116	400	216	200	Extension for reply within second month		
Order				117	920	217	460	Extension for reply within third month		
1. BASIC FIL		CALCULATION		118	1,440	218	720	Extension for reply within fourth month		
Large Entity S				128	1,960	228	980	Extension for reply within fifth month		
Fee Fee F	Fee Fee	Fee Description		119	320	219	160	Notice of Appeal		
• • •	Code (\$)		Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
	201 370	Utility filing fee	370	121	280	221	140	Request for oral hearing		
	206 165 207 255	Design filing fee Plant filing fee	<del> </del>	138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740 2	208 370	Reissue filing fee	,	140	110	240	55	Petition to revive – unavoidable		
114 160 2	214 80	Provisional filing	fee	141	1,280	241	640	Petition to revive – unintentional		
				142	1,280	242	640	Utility issue fee (or reissue)		
	SUBTOTA	AL (1)	(\$)370	143	460	243	230	Design issue fee		
2. EXTRA CLAIM	A FEES			144	620	244	310	Plant issue fee		
			e from. Fee	122	130	122	130	Petitions to the Commissioner		
Total Claims 13	= [	Claims belo		123	50	123	50	Petitions related to provisional applications		
Independent Claims 3	-3** =	0 × \$	342 = \$0	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	_	× [	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
Large Entity Fee Fee	Small Ent			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$)	Code (\$)		ion	149	740	249	370	For each additional invention to be		
103 18	203 9	Claims in exce						examined (37 CFR § 1.129(b))		
102 84	202 42		claims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)		
104 280	204 140		ndent claim, if not paid	169	900	169		Request for expedited examination	$\vdash$	
109 84	209 42	original patent					500	of a design application		
110 18	210 9	** Reissue cla over original p	aims in excess of 20 and patent		ee (specify ommission		uthorize	ed to charge any additional fees to		
SUBTOTAL (2) (\$)0					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
**or number previously paid, if greater; For Reissues, see above				*Reduc	ced by Ba	sic Filing	g Fee Pa	aid SUBTOTAL (3) (\$)40		

1	SUBMITTED BY			Complete (if applicable)		
I	Name (Print/Type)	Paul C. Haughey	Registration No. (Attorney/Agent)	31,836	Telephone	650-326-2400
	Signature	Pant	C. Haugh	e,	Date	February 7, 2002

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